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Attorney Docket No.: 100-006USA000

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Continuation Application based on co-pending application of:

Applicant:

Thomas J. Perkowski

Serial No.

08/871,815

Filing Date

June 9, 1997

Title of Invention

SYSTEM AND METHOD FOR FINDING AND SERVING CONSUMER PRODUCT RELATED INFORMATION TO CONSUMERS USING INTERNET-BASED INFORMATION SERVERS

AND CLIENTS

Group Art Unit

2761

Examiner

Stephen Tkacs

Honorable Commissioner of Patents and Trademarks

Washington, DC 20231

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Sir:

In order to fulfill Applicant's continuing obligation of candor and good faith as set forth in 37 C.F.R. 1.56, Applicant submits herewith a Supplemental Information Disclosure Statement prepared in accordance with 37 C.F.R Sections 1.97, 1.98 and 1.99 for filing in the above-referenced Continuation Application Filed Under 37 C.F.R. 1.53(d).

The disclosures enclosed herewith are as follows:

RECEIVED

U.S. PUBLICATIONS

JUL 2 2 2002 OFFICE OF PETITIONS

NUMBER

LING DATE

TITLE

5,804,803

april 2, 1996

MECHANISM FOR RETRIEVING INFORMATION USING DATA ENCODED ON AN OBJECT

#### FOREIGN PUBLICATIONS

NUMBER

**PUBLICATION DATE** 

TITLE

WO 97/37319

October 9, 1997

A MECHANISM FOR RETRIEVING INFORMATION USING DATA ENCODED ON AN OBJECT

#### STATEMENT OF PERTINENCE

US Patent No. 5,804,803 discloses, in Column 7 at lines 27-47 and Figs. 1B and 3 thereof, a system for serving product information to consumers using a UPC product database (136 in Figs 1B and 3), similar to the one disclosed in EPO Publication No. WO 97/01137 (Hudetz, et al./Solar Communications, Inc.) and EPO Publication No. EPO744856 (Penzias/ATT&T IPM, Inc. As disclosed in US Patent No. 5,804,803, a scanning device (118) connected to a client computer system (104, 106, 112) is used to read a code (117) on a consumer product

(117), and then the recovered UPC number is used to access the UPC product database (136) and access URL information (325) keyed to the inputted UPC number. The client computer system (102) then uses the URL to access product information (such as product name, unit price, and product location in store) for display to the consumer.

WIPO Publication No. WO 97/37319 discloses, at lines 13-32 on Page 12 and in Figs 1B and 3 thereof, a system for serving product information to consumers using a UPC product database (136 in Figs 1B and 3), similar to the one disclosed in WIPO Publication No. WO 97/01137 (Hudetz, et al./Solar Communications, Inc.) and EPO Publication No. EPO744856 (Penzias/ATT&T IPM, Inc. As disclosed in WIPO publication No. WO 97/37319, a bar code symbol reader (118) connected to a client computer system (104, 106, 112) is used to read a UPC (117) on a consumer product (115), and then the recovered UPC number is used to access the UPC product database (136) and access URL information (325) keyed to the inputted UPC number. The client computer system (102) then uses the URL to access product information (such as product name, unit price, and product location in store) for display to the consumer.

A separate listing of the above references on PTO Form 1449 and a copy of these references are enclosed herewith for the convenience of the Examiner.

The Commissioner is also hereby authorized to charge any fee deficiencies to Deposit Account No. 16-1340. A copy of this document is enclosed herewith.

Respectfully submitted,

Dated: August 26, 1999

Thomas J. Perkowski Reg. No. 33,134 Attorney for Applicants Thomas J. Perkowski, Esq., P.C. Soundview Plaza 1266 East Main Street Stamford, Connecticut 06902 203-357-1950

## CERTIFICATE OF EXPRESS MAIL UNDER C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service on August 26, 1999 as Express Mail (No. EL364975051US) in a postage prepaid envelope addressed to:

Commissioner of Patents and Trademarks Washington, DC 20231

Name: Thomas J. Perkowski, Esq.

Dated: August 26, 1999

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OFFICE OF PETITIONS

			CORRIGINAL	ED				#
Substitut	LE	Company If Known						
,				Ap	plicatio	n Number	08/	871,815
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT					Filing Date First Name Inventor		June 9, 1997 Thomas J. Perkowski	
	Ex	Examiner Name		Stephen Tkacs				
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		U.S. Patent Documents		Name of		Date of		-
<b>PEXAMINE</b>	Cite		Kind	Patentee or		Publication of		Intn'i Class / Sub Class

Applicant of

**Cited Document** 

Cragun et al.

Code (if

known)

		FOF	REIGN PATE	NT DOCUMENTS			
Examiner	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited	Intn'i Class /	
Initials	Office	Number	Kind Code (if known)	Applicant of Cited Document	Document MM-DD-YYYY	Sub Class ,	
	PCT	WO		International Business	10/09/1997		

		PUBLICATIONS	
Examiner Initials	Cite No.	Description	

### **EXAMINER**

Initials

No.

Number

5,804,803

### **DATE CONSIDERED**

**Machines** 

Cited

**Document** 

MM-DD-YYYY

09/08/1998

Intn'i Class / Sub Class

G06K/7/10

**EXAMINER:** Initial if reference considered, whether or not citation is inconformance with MPEP 609; Draw line through citation if not in conformance not considered. Include copy of this form with next communication to applicant.

97/37319

(INFORMATION DISCLOSURE STATEMENT - SECTION 9 PTO-1449)